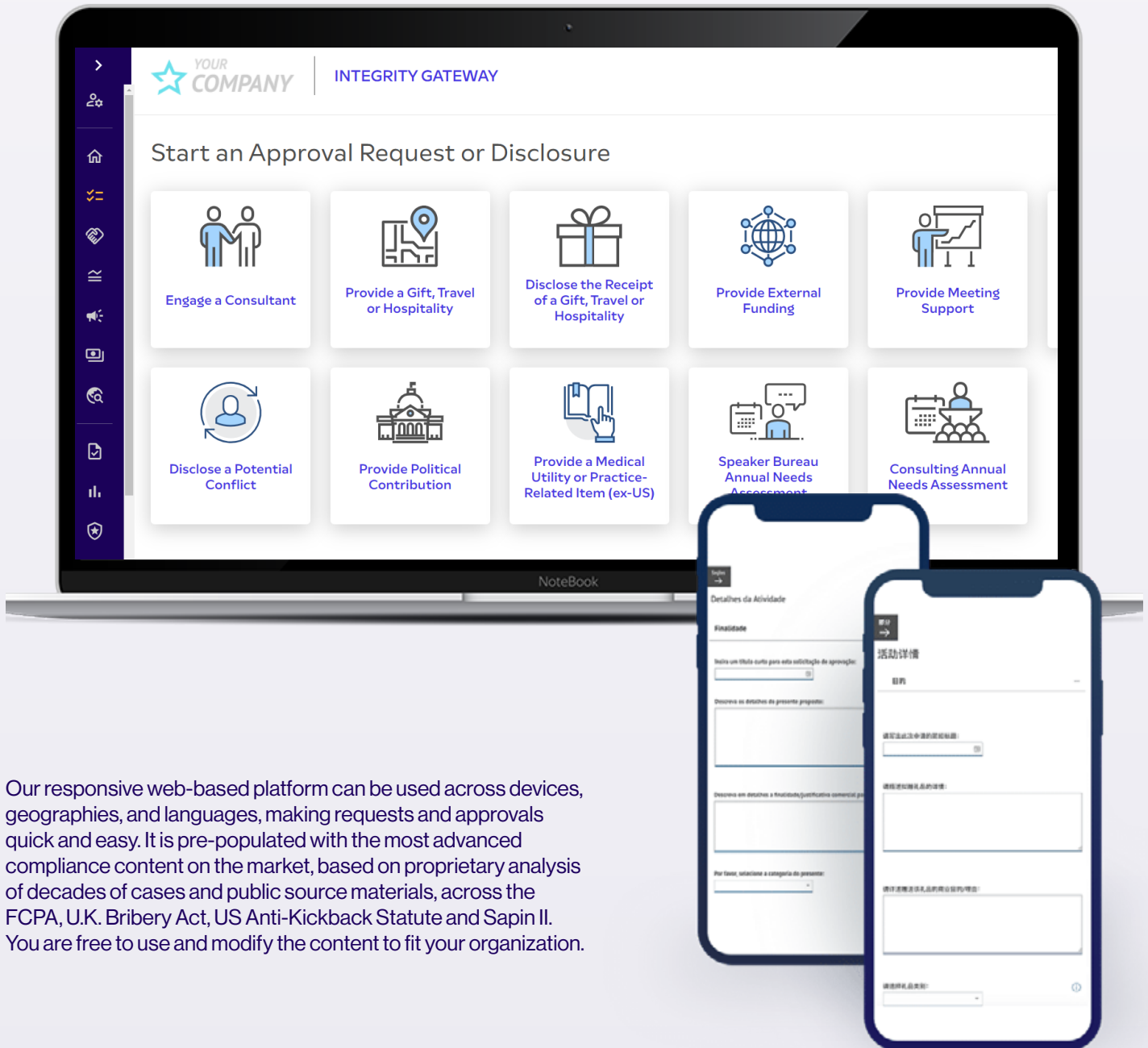Product Overview

Approvals & Disclosures

Case IQ's Approvals & Disclosures Software

With the acquisition of Lextegrity, Case IQ's Approvals & Disclosures software allows you to automate your anti-bribery, sanctions, and conflicts of interest programs with the most advanced compliance workflow application on the market today. This software brings all cross-risk compliance workflows, including gifts and hospitality, donations and sponsorships, and conflicts of interest, into a single unified system.

Our responsive web-based platform can be used across devices, geographies, and languages, making requests and approvals quick and easy. It is pre-populated with the most advanced compliance content on the market, based on proprietary analysis of decades of cases and public source materials, across the FCPA, U.K. Bribery Act, US Anti-Kickback Statute and Sapin II. You are free to use and modify the content to fit your organization.

Nature and Appearance

Is the donation or sponsorship appropriate in value in relation to the size of the organization and the purpose of the funding? ⓘ

◯ Yes　● No

Provide a detailed explanation for why the donation or sponsorship should still be provided:

Featuring pre-built workflows for multiple risk areas, from providing and receiving gifts and hospitality to providing donations and sponsorships and disclosing employee conflicts of interest, our software provides the ability to create tailored solutions to address the many risks facing global companies. The system is capable of managing complex levels of question and approval logic and can handle complicated risk scenarios and corporate procedures with ease. Use aggregated data to trigger approvals and view aggregated analytics related to your approval requests. Integrate automated sanctions, watchlist, and adverse media screening directly into approval workflows.

Access real-time and historical data by a variety of data points, including number, monetary value, employee, and counterparty. Filter, drill down, and gain 360-degree visibility across request types, employees, and counterparties. Our best-in-class reporting and analytics enable your company to see risk both holistically and at a granular level. Download real-time reports and data exports in seconds and export visualizations for Board and Compliance Committee presentations at the click of a button. Our technology ensures compliance efforts are continuously documented and preserved, with a full audit trail within each request record, helping your auditors and investigators while reducing risk exposure for your business.

World-Class User Experience

Intuitive

Our platform is graphical, inviting and modern. That's what your business expects. Don't give them fillable PDFs, Sharepoint sites or clunky first-generation compliance systems. Give them something that will make compliance a pleasure.

Across Devices

Our responsive web-based platform can be used across devices. A last minute request can be submitted and approved without anyone needing to be in the office – leaving more time for your business to do their day jobs.

In Multiple Languages

Compliance risks are global and so is your organization. Our platform is available in a variety of global languages.

End-to-End Risk Coverage

Expert Content

Our platform comes pre-populated with the most advanced compliance content on the market, based on proprietary analysis of decades of cases and public source materials, across the FCPA, U.K. Bribery Act, US Anti-Kickback Statute and Sapin II. You're free to use the content, use your own, or combine the two.

Across Multiple Risks

Are you covered across all your risk areas? Our platform comes with workflows for multiple risk areas — from providing and receiving gifts and hospitality to providing donations and sponsorships and disclosing employee conflicts of interest. Our software provides tailored solutions to address the many risks facing global companies.

In One Platform

Get rid of multiple risk systems for various risks by bringing those processes into one system. Make the lives of your employees much easier.

Intelligent & Robust Workflow

Intelligent Logic

Our intelligent compliance system is capable of managing complex levels of question and approval logic. It can handle complicated risk scenarios and corporate procedures with ease.

Data-Informed Approvals

Use aggregated data to trigger approvals and view aggregated analytics related to your approval requests.

Automated Sanctions Screening

Integrate automated sanctions, watchlist, and adverse media screening directly into approval workflows.

Data & Analytics Driven
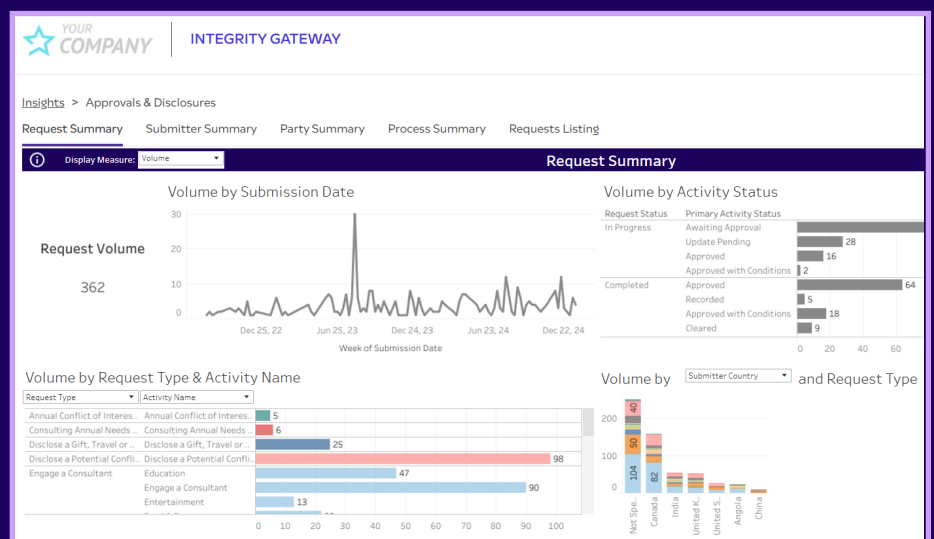
Advanced Reporting & Analytics

Have access to real-time and over-time data by number, monetary value, employees and counterparties. Filter, drill down and have 360-degree visibility across request types, your employees and counterparties.

Fully Exportable

Download real-time reports and data exports in seconds. Export visualizations for Board and Compliance Committee presentations at the click of a button.

Fully Auditable

Our technology ensures compliance efforts are continuously documented and preserved, with a full audit trail within the request record itself, to help your auditors and investigators while reducing risk exposure for your business.

Privacy & Security at the Core

SOC 2 Certified

Our application is SOC 2 certified, meeting the world's highest standards for information security.

Privacy & GDPR

Our application and database are stored in Europe to support your GDPR efforts.

Simple & Quick to Deploy

Out of the Box & Configurable

Our pre-designed content and workflows allow you to roll-out a world-class program in weeks, with minimal effort.

Supports SSO & Integrations

Enable single-sign-on and integrate with leading HR, master data, and financial systems to make roll-out even smoother.

With Expert Partners by Your Side

Our team will support you the whole way with training materials, train-the-trainer sessions, and 24/7 technical support for the application after go-live.

The Problem We're Solving

We focused on the challenges every compliance team faces:

⚠ Employees lacking sufficient tools to assess risk and own their own compliance

⚠ Interfaces for the business that are not user-friendly or intuitive

⚠ Too few legal or compliance resources to support the business

⚠ Disorganized and disparate data stores, making insights difficult to generate

⚠ Risks managed in multiple systems that do not talk to each other

⚠ Not having real-time analytics and monitoring, leading to missed anomalies

Case IQ